

## **AGENDA ITEM**

### **REPORT TO HEALTH AND WELLBEING BOARD**

**21 OCTOBER 2013**

**REPORT OF CORPORATE  
DIRECTOR OF CHILDREN,  
EDUCATION AND SOCIAL CARE**

#### **Funding Transfer from NHS England to Social Care 2013/14: Stockton-on-Tees**

##### **SUMMARY**

##### **RECOMMENDATION**

1. It is recommended that the Health and Wellbeing Board approves the plan for use of the social care funding, as detailed in Appendix 1, as it is a requirement of the funding transfer that plans are jointly agreed between Local Authorities and Clinical Commissioning Groups and approved by Health & Wellbeing Boards.
2. The planned use of the funding for Stockton on Tees meets the requirement for investment in adult social care services that demonstrates health benefits. A draft s256 agreement has been drawn up and sets out the legal basis for the transfer and the monitoring arrangements that will be established.

##### **DETAIL**

1. In the 2011/12 Operating Framework for the NHS in England, the Department set out that Primary Care Trusts (PCT) would receive allocations to support adult social care. This funding was in addition to the funding for reablement services that was incorporated within recurrent PCT allocations. From 2013/14, the funding transfer to local authorities will be carried out by the NHS Commissioning Board (the Board).
2. For the 2013/14 financial year, the Board will transfer £859 million from its global allocation to local authorities. The amounts to be paid to individual local authorities are based on the adult social care relative needs formulae.
3. The value of the fund to Stockton-on-Tees is £3,025,250 for 2013/14, confirmed in a letter from the Chief Finance Officer of the NHS Commissioning Board in December 2012:

[https://www.gov.uk/government/uploads/system/uploads/attachment\\_data/file/213223/Funding-transfer-from-the-NHS-to-social-care-in-2013-14.pdf](https://www.gov.uk/government/uploads/system/uploads/attachment_data/file/213223/Funding-transfer-from-the-NHS-to-social-care-in-2013-14.pdf)

4. This letter sets out the details of the conditions for the use of the funding, which include:

supporting adult social care services in each local authority, which also has a health benefit. However, beyond this broad condition, the Department of

Health wants to provide flexibility for local areas to determine how this investment in social care services is to be best used.

5. The NHS Commissioning Board must, therefore, make it a condition of the transfer that the local authority agrees with its local health partners how the funding is best used within social care, and the outcomes expected from this investment. Health and wellbeing boards have been identified as the natural place for discussions between the Local Area Team, Clinical Commissioning Groups (CCGs) and local authorities on how the funding should be spent, as part of their wider discussions on the use of their total health and care resources.
6. In line with responsibilities under the Health and Social Care Act, a condition of the transfer is that local authorities and CCGs have regard to the Joint Strategic Needs Assessment for their local population, and existing commissioning plans for both health and social care, in how the funding is used. It also make it a condition of the transfer that local authorities demonstrate how the funding transfer will make a positive difference to social care services, and outcomes for service users, compared to service plans in the absence of the funding transfer.
7. The funding transfer may be used to support existing services or transformation programmes, where such services or programmes are of benefit to the wider health and care system, provide good outcomes for service users, and would be reduced due to budget pressures in local authorities without this investment. New services or transformation programmes, again where joint benefit with the health system and positive outcomes for service users have been identified, can also be supported.
8. The Caring for Our Future White Paper also sets out that the transfer of funding can be used to cover the small revenue costs to local authorities of the White Paper commitments in 2013/14 (excluding the Guaranteed Income Payments disregard, which is being funded through a grant from the Department of Health). The Board should have regard to this when reaching agreements with local authorities.
9. On this basis, it is proposed that the additional funding for 2013/14 is used to maintain services that support people to remain independent in the community (including extra care, respite, domiciliary care and personal budgets) where the Local Authority currently has budget pressures and would be required to cut services without this investment.
10. The proposals for use of the funding meet the requirement for investment in adult social care that provides health benefits and will make a positive difference to social care services and outcomes for people using the services.
11. The plan for use of the funding for 2013/14 is attached at Appendix 1.
12. A draft S256 agreement has been prepared by NHS England's Durham, Darlington & Tees Area Team and will be finalised and signed by the Area Team and the Local Authority following approval of the plan.

## **Stockton-on-Tees allocation and spend**

13. The Stockton value for 2013/14 is £3,025,250, which is an increase on the monies transferred previously in the previous two years.
14. The funding plan is detailed in Appendix 1 and additional information to provide context to the plan is provided in Appendix 2.
15. It should be noted that the Social Care Funding Plans for 2014-15 and plans for the use of the Health and Social Care Transformation Fund for 2015-16 are to be completed by 31.3.14.

## **FINANCIAL IMPLICATIONS**

This funding will be used to maintain some existing social care services that are at risk due to budget reductions to the local authority. The areas identified for additional investment will enable positive health and social care outcomes to be achieved for people receiving care and support from the local authority.

## **LEGAL IMPLICATIONS**

Not applicable.

## **RISK ASSESSMENT**

Not applicable.

## **CONSULTATION**

The development of the plan for use of the social care funding has involved joint working between the local authority and the CCG.

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